

MERGESORT(A[1..n]):

if $n > 1$

$m \leftarrow \lfloor n/2 \rfloor$

MERGESORT(A[1..m]) *⟨⟨Recurse!⟩⟩*

MERGESORT(A[m+1..n]) *⟨⟨Recurse!⟩⟩*

MERGE(A[1..n], m)

MERGE(A[1..n], m):

$i \leftarrow 1; j \leftarrow m+1$

for $k \leftarrow 1$ to n

if $j > n$

$B[k] \leftarrow A[i]; i \leftarrow i+1$

else if $i > m$

$B[k] \leftarrow A[j]; j \leftarrow j+1$

else if $A[i] < A[j]$

$B[k] \leftarrow A[i]; i \leftarrow i+1$

else

$B[k] \leftarrow A[j]; j \leftarrow j+1$

for $k \leftarrow 1$ to n

$A[k] \leftarrow B[k]$

recurrence: function defined
in terms of itself

$T(n)$: worst case time
to run MergeSort(A[1..n])

$$T(n) = T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil)$$

$$+ \Theta(n)$$

$$= 2T(n/2) + \Theta(n)$$

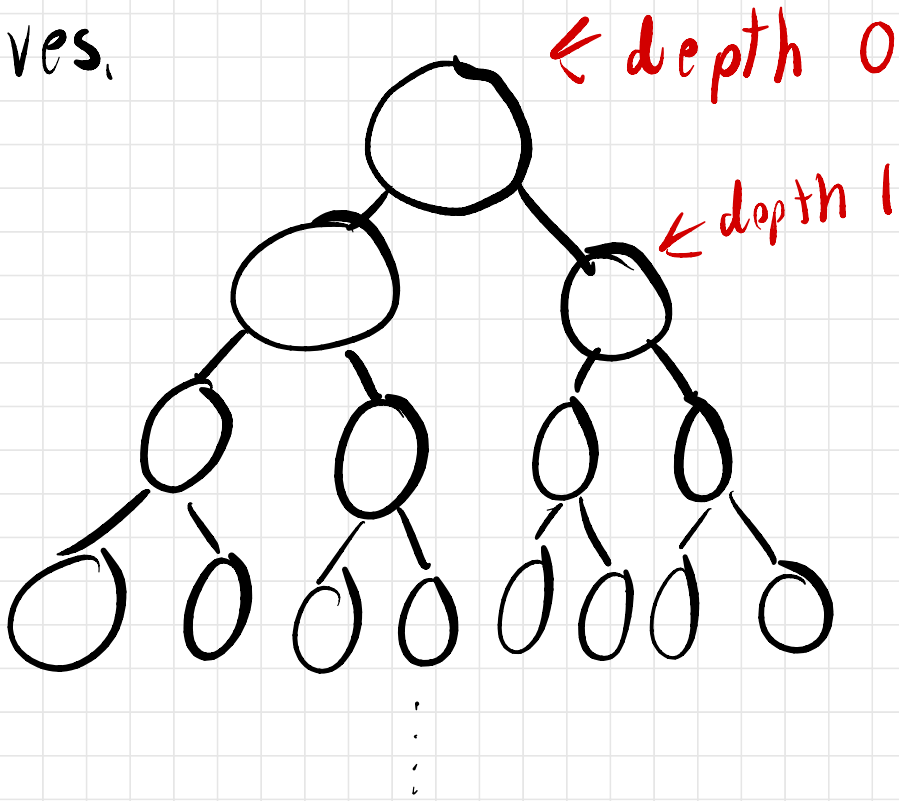
Recursion Trees

rooted tree

each node represents a
single recursive call

root is for MergeSort(A[1..n])
ie. $T(n)$

Children of a node represent direct recursive calls. Base cases are leaves.



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level i : nodes at depth i

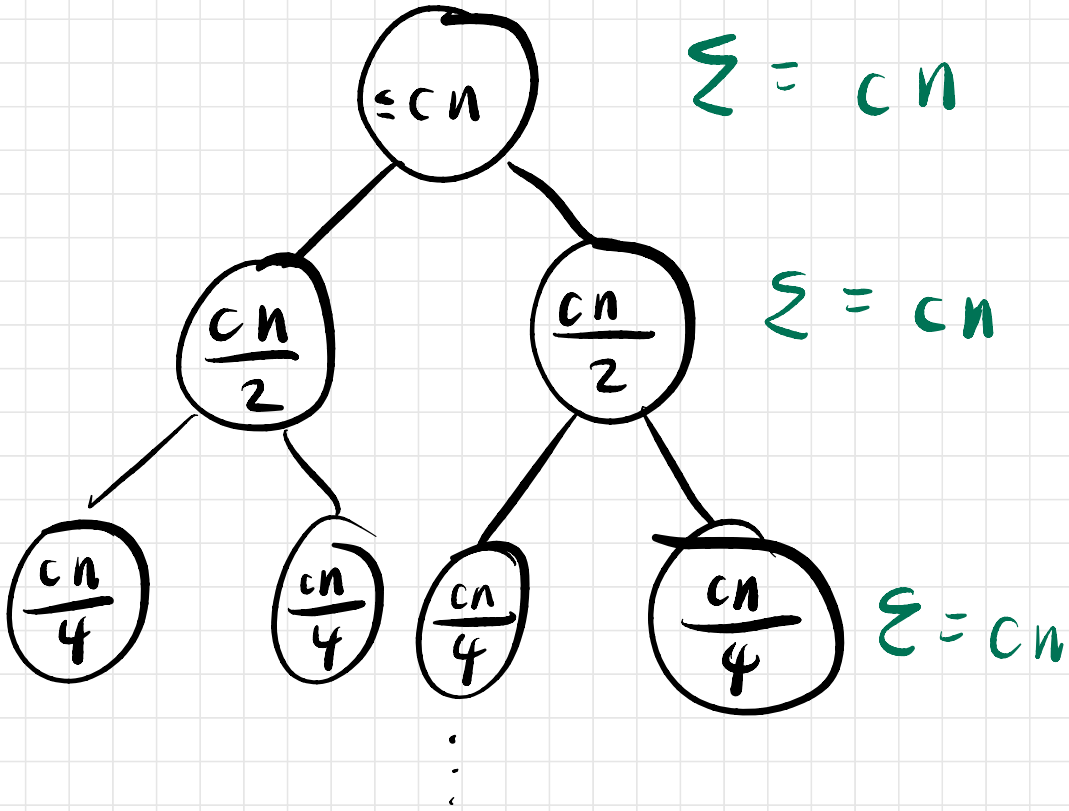
Node's value is its contribution to $T(n)$ outside recursive calls.

$\Rightarrow T(n) = \text{sum of node values}$

$$T(n) = 2T(n/2) + \theta(n)$$

by definition of big-Oh, value at root is $\in O(n)$ as long as n is "big".

start by finding a big-Oh bound



To find sum of values,
 sum up the levels'
 sums.

$$T(n) \leq \# \text{ levels} \cdot cn + (\text{base cases})$$

$$\leq O(\log n) \cdot cn = O(n \log n)$$

same math:

$$T(n) \geq \Omega(n \log n)$$

$$\Rightarrow \underline{T(n) = \Theta(n \log n)}$$

QUICKSORT(A[1..n]):

if ($n > 1$)

Choose a pivot element $A[p]$

$r \leftarrow \text{PARTITION}(A, p)$

QUICKSORT(A[1..r-1]) $\llcorner\langle\langle\text{Recurse!}\rangle\rangle$

QUICKSORT(A[r+1..n]) $\llcorner\langle\langle\text{Recurse!}\rangle\rangle$

rank of the
pivot

PARTITION(A[1..n], p):

swap $A[p] \leftrightarrow A[n]$

$\ell \leftarrow 0$ $\llcorner\langle\langle\text{\#items} < \text{pivot}\rangle\rangle$

for $i \leftarrow 1$ to $n-1$

if $A[i] < A[n]$

$\ell \leftarrow \ell + 1$

swap $A[\ell] \leftrightarrow A[i]$

swap $A[n] \leftrightarrow A[\ell + 1]$

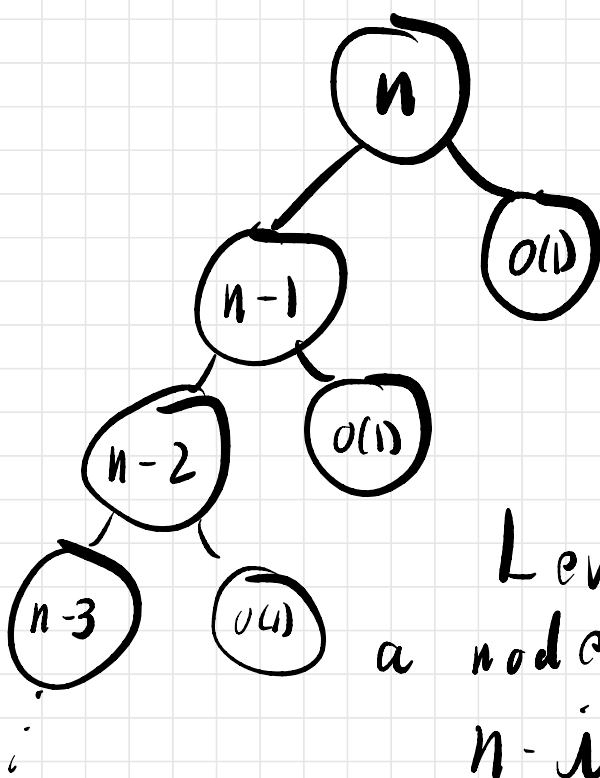
return $\ell + 1$

$T(n)$: worst case time for
Quick Sort ($A[1..n]$)

$$T(n) = \Theta(n) + \max_{1 \leq r \leq n} (T(r-1) + T(n-r))$$

What if $r =$ problem size each
call?

Ignore the c because we're
aiming for a big-Oh anyway)



$$T(n) \geq \sum_i n-i = \Omega(n^2)$$

At most n levels summing to $\leq n$ in all cases,

$$\text{so } T(n) \leq O(n^2)$$

$$T(n) = \Theta(n^2) \quad \leftarrow \text{(worst-case!)}$$

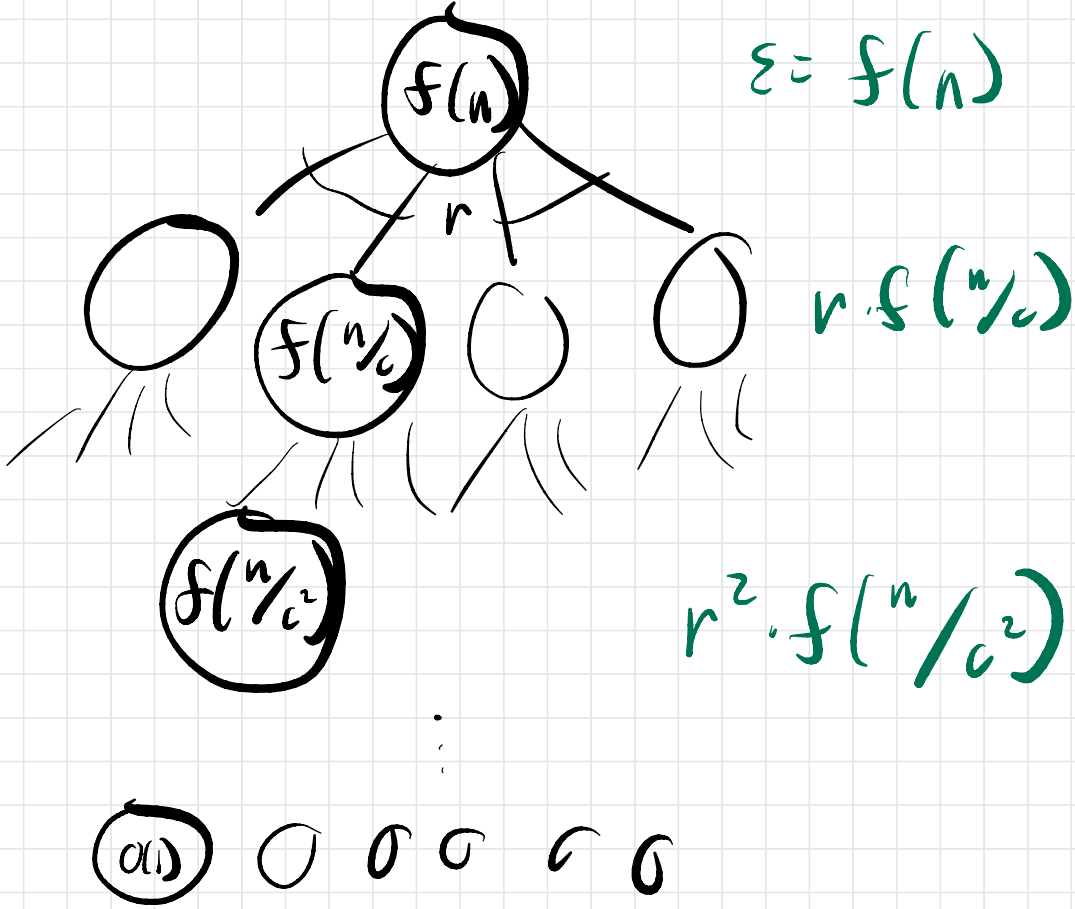
A Common Pattern

An algorithm does some $f(n)$ work outside calls.

It does r recursive call for some constant r .

Subproblems have size n/c for some constant c .

$$T(n) = r \cdot T(n/c) + f(n)$$



At level i :

Value of a node

$$f(n/c^i)$$

A nodes r^i
 sum is $r^i \cdot f(n/c^i)$

L : depth of tree (max depth over all nodes)

$$L = \log_c n$$

$$\Rightarrow \# \text{ leaves } r^L = r^{\log_c n}$$

Useful fact from calculus:

geometric series

$$a + as + as^2 + \dots + as^{x-1} \\ = \frac{a(1-s^x)}{1-s}$$

$$1-s$$

$\neq 1$

If s is a constant $\neq 1$ & z is the largest term, series is in $\Theta(z)$

Three common cases:

$$T(n) = \sum_{i=0}^L r^i f(n/c^i)$$

if series is

geometric + decreasing:

$$T(n) = \Theta(f(n))$$

all terms equal:

$$T(n) = L \cdot f(n) = \Theta(f(n) \log_c n)$$

$$= \Theta(f(n) \log n)$$

geometric + increasing:

$$T(n) = \Theta(\# \text{ leaves}) = \Theta(r^{\log_c n})$$

$$= \Theta(n^{\log_c r})$$

"Master Method" (CLRS)

Multiplication

Want to multiply x & y

(pos. ints). Each has $\leq n$ digits.

Let a, b, c, d s.t.

$$x = 10^m a + b \quad m = \lceil n/2 \rceil$$

$$y = 10^m c + d$$

$$\begin{aligned} xy &= (10^m a + b)(10^m c + d) \\ &= 10^{2m} ac + 10^m (bc + ad) \\ &\quad + bd \end{aligned}$$

SPLITMULTIPLY(x, y, n):

if $n = 1$

return $x \cdot y$

else

$m \leftarrow \lfloor n/2 \rfloor$

$a \leftarrow \lfloor x/10^m \rfloor$; $b \leftarrow x \bmod 10^m$ $\langle\langle x = 10^m a + b \rangle\rangle$

$c \leftarrow \lfloor y/10^m \rfloor$; $d \leftarrow y \bmod 10^m$ $\langle\langle y = 10^m c + d \rangle\rangle$

$e \leftarrow \text{SPLITMULTIPLY}(a, c, m)$

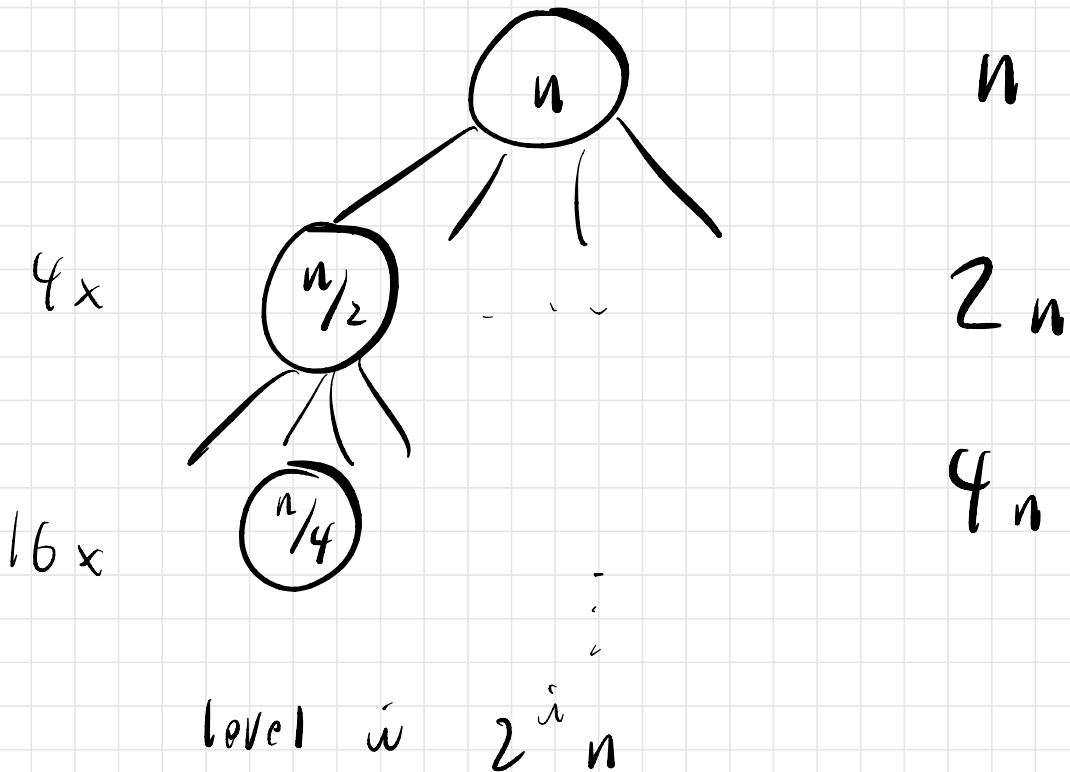
$f \leftarrow \text{SPLITMULTIPLY}(b, d, m)$

$g \leftarrow \text{SPLITMULTIPLY}(b, c, m)$

$h \leftarrow \text{SPLITMULTIPLY}(a, d, m)$

return $10^{2m}e + 10^m(g + h) + f$

$$T(n) = 4 \cdot T(n/2) + \Theta(n)$$



$$T(n) = \Theta(n^{\log_2 4}) = \Theta(n^2)$$

Karatsuba

$$bc + ad = ac + bd - ac + bc + ad - bd$$

$$= ac + bd - (a-b)(c-d)$$

FASTMULTIPLY(x, y, n):

if $n = 1$

return $x \cdot y$

else

$m \leftarrow \lceil n/2 \rceil$

$a \leftarrow \lfloor x/10^m \rfloor$; $b \leftarrow x \bmod 10^m$ $\langle\langle x = 10^m a + b \rangle\rangle$

$c \leftarrow \lfloor y/10^m \rfloor$; $d \leftarrow y \bmod 10^m$ $\langle\langle y = 10^m c + d \rangle\rangle$

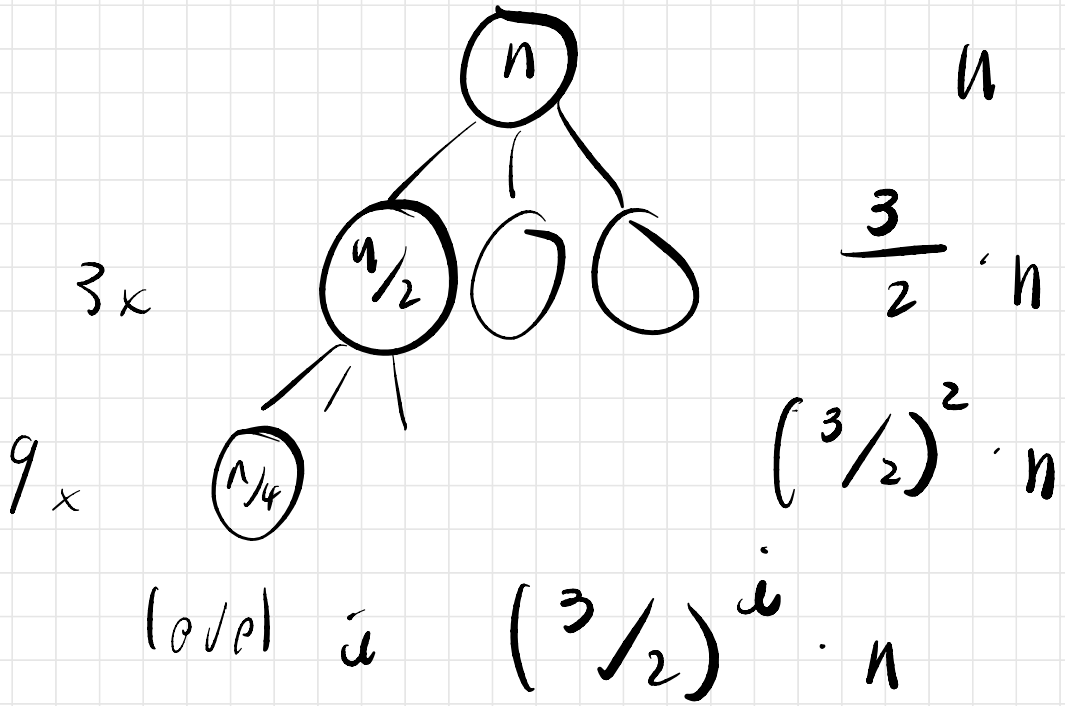
$e \leftarrow \text{FASTMULTIPLY}(a, c, m)$

$f \leftarrow \text{FASTMULTIPLY}(b, d, m)$

$g \leftarrow \text{FASTMULTIPLY}(a - b, c - d, m)$

return $10^{2m}e + 10^m(e + f - g) + f$

$$T'(n) = 3 \cdot T(n/2) + \Theta(n)$$



$$T(n) = \Theta(n^{\log_2 3}) \leftarrow \text{better on homework}$$

$$= O(n^{1.585})$$

Best: $O(n \log n)$

Harvey + van der Hoerenfound
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