Properties of Axiomatic Semantics CS 4301/6371: Advanced Programming Languages

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Soundness and Completeness of Hoare Logic

Motivation

Goals of any axiomatic semantics:

- **Soundness:** If a Hoare triple $\{A\}c\{B\}$ is derivable, it is "true".
- **Completeness:** If a Hoare triple $\{A\}c\{B\}$ is "true", it is derivable.
- Are our 6 axiomatic semantic rules sound and complete?
 - Must first formally define what is meant by "true" in the above
 - Typically we define this using... denotational semantics!

Denotations of Assertion Expressions

(1) Extend expression denotations \mathcal{E} to include meta-variables \bar{v} :

stores	$\Sigma: v \rightharpoonup \mathbb{Z}$
interpretations	$\bar{\Sigma}:\bar{v}\rightharpoonup\mathbb{Z}$
exp denotations	$\mathcal{E}: e \to \bar{\Sigma} \to \Sigma \rightharpoonup \mathbb{Z}$

$$\mathcal{E}\llbracket n \rrbracket \bar{\sigma}\sigma = n$$

$$\mathcal{E}\llbracket v \rrbracket \bar{\sigma}\sigma = \sigma(v)$$

$$\mathcal{E}\llbracket \bar{v} \rrbracket \bar{\sigma}\sigma = \bar{\sigma}(\bar{v})$$

$$\mathcal{E}\llbracket e_1 + e_2 \rrbracket \bar{\sigma}\sigma = \mathcal{E}\llbracket e_1 \rrbracket \bar{\sigma}\sigma + \mathcal{E}\llbracket e_2 \rrbracket \bar{\sigma}\sigma$$

$$\mathcal{E}\llbracket e_1 - e_2 \rrbracket \bar{\sigma}\sigma = \mathcal{E}\llbracket e_1 \rrbracket \bar{\sigma}\sigma - \mathcal{E}\llbracket e_2 \rrbracket \bar{\sigma}\sigma$$

$$\mathcal{E}\llbracket e_1 * e_2 \rrbracket \bar{\sigma}\sigma = \mathcal{E}\llbracket e_1 \rrbracket \bar{\sigma}\sigma \cdot \mathcal{E}\llbracket e_2 \rrbracket \bar{\sigma}\sigma$$

Denotations of Assertions

(2) Define denotations A of assertions A:

assertion denotations $\mathcal{A}: A \to \overline{\Sigma} \to \Sigma \rightharpoonup \{T, F\}$

$$\mathcal{A}\llbracket T \rrbracket \bar{\sigma}\sigma = T$$
$$\mathcal{A}\llbracket F \rrbracket \bar{\sigma}\sigma = F$$
$$\mathcal{A}\llbracket e_1 \le e_2 \rrbracket \bar{\sigma}\sigma = \mathcal{E}\llbracket e_1 \rrbracket \bar{\sigma}\sigma \le \mathcal{E}\llbracket e_2 \rrbracket \bar{\sigma}\sigma$$
$$\mathcal{A}\llbracket A_1 \Rightarrow A_2 \rrbracket \bar{\sigma}\sigma = \mathcal{A}\llbracket A_1 \rrbracket \bar{\sigma}\sigma \Rightarrow \mathcal{A}\llbracket A_2 \rrbracket \bar{\sigma}\sigma$$
$$\mathcal{A}\llbracket \forall \bar{v}.A \rrbracket \bar{\sigma}\sigma = \forall i \in \mathbb{Z}, \mathcal{A}\llbracket A \rrbracket (\bar{\sigma}[\bar{v} \mapsto i])\sigma$$

.

Denotations of Judgments

(3) Notations:

$$\bar{\sigma}, \sigma \models A \text{ asserts } \mathcal{A}\llbracket A \rrbracket \bar{\sigma} \sigma$$
$$\sigma \models A \text{ asserts } \forall \bar{\sigma} \in \bar{\Sigma}, (\bar{\sigma}, \sigma \models A)$$
$$\models A \text{ asserts } \forall \sigma \in \Sigma, (\sigma \models A)$$

Note: $\models A$ is our notation from the Rule of Consequence.

(4) Hoare Triple Denotations: $\models \{A\}c\{B\}$ asserts:

 $\forall \bar{\sigma} \in \bar{\Sigma}, \forall \sigma, \sigma' \in \Sigma, (\bar{\sigma}, \sigma \models A) \land ((\sigma, \sigma') \in \mathcal{C}[\![c]\!]) \Rightarrow (\bar{\sigma}, \sigma' \models B)$

Note: C[[c]] is the denotational semantics of the target programming language.

Proving Soundness

Theorem (Soundness)

If $\{A\}c\{B\}$ is derivable then $\models \{A\}c\{B\}$ holds.

Proof

Let $\bar{\sigma} \in \bar{\Sigma}$ and $\sigma, \sigma' \in \Sigma$ be given such that $\bar{\sigma}, \sigma \models A$ and $(\sigma, \sigma') \in C[\![c]\!]$.

(Goal: Prove
$$\bar{\sigma}, \sigma' \models B$$
.)

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Proof

Let $\bar{\sigma} \in \bar{\Sigma}$ and $\sigma, \sigma' \in \Sigma$ be given such that $\bar{\sigma}, \sigma \models A$ and $(\sigma, \sigma') \in C[\![c]\!]$. Let \mathcal{D} be a derivation of $\{A\}c\{B\}$. Proof is by structural induction over \mathcal{D} . IH: If $\{A_0\}c_0\{B_0\}$ has a derivation $\mathcal{D}_0 < \mathcal{D}$, then $\models \{A_0\}c_0\{B_0\}$ holds. Case 1: Suppose \mathcal{D} ends in Rule 1:

$$\mathcal{D} = \frac{1}{\{A\}\operatorname{skip}\{A\}} (1)$$

Thus c = skip and B = A.

(Goal: Prove $\bar{\sigma}, \sigma' \models B$.)

Proving Soundness

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$$\mathcal{D} = \frac{1}{\{A\} \operatorname{skip}\{A\}} (1)$$

Thus $c = \operatorname{skip}$ and B = A. Since $\sigma' = C[\operatorname{skip}]\sigma = \sigma$ and B = A, assumption $\bar{\sigma}, \sigma \models A$ implies $\bar{\sigma}, \sigma' \models B$.

(Goal: Prove $\bar{\sigma}, \sigma' \models B$.)

Soundness and Completeness of Hoare Logic

Completeness

Recall: $\models \{A\}c\{B\}$ asserts

$$\forall \bar{\sigma} \in \bar{\Sigma}, \forall \sigma, \sigma' \in \Sigma, (\bar{\sigma}, \sigma \models A) \land ((\sigma, \sigma') \in \mathcal{C}[\![c]\!]) \Rightarrow (\bar{\sigma}, \sigma' \models B)$$

Theorem (Completeness)

If $\models \{A\}c\{B\}$ then $\{A\}c\{B\}$ is derivable.

Proof

Assume $\models \{A\}c\{B\}$.

Soundness and Completeness of Hoare Logic

Completeness

Recall: $\models \{A\}c\{B\}$ asserts

$$\forall \bar{\sigma} \in \bar{\Sigma}, \forall \sigma, \sigma' \in \Sigma, (\bar{\sigma}, \sigma \models A) \land ((\sigma, \sigma') \in \mathcal{C}[\![c]\!]) \Rightarrow (\bar{\sigma}, \sigma' \models B)$$

Theorem (Completeness)

If $\models \{A\}c\{B\}$ then $\{A\}c\{B\}$ is derivable.

Impossible! Recall our friend Kurt Gödel:

No finite collection of axioms is both sound and complete.

- BUT... Stephen Cook¹ (of P v. NP fame) comes to our rescue:
 - **Relative Completeness:** Given an oracle that (magically) derives the ⊨ A premises in the Rule of Consequence (whenever they are true), Hoare logic is complete.
 - In essence, Hoare Logic is "as complete as possible" given the inherent incompleteness of mathematics in general.

¹S.A. Cook, "Soundness and Completeness of an Axiom System for Program Verification," SIAM J. Comput. 7(1):70–90, Feb. 1978.

Advanced Programming Languages

Preconditions & Postconditions



- Edsger Dijkstra's idea: The strongest correctness assertions are those where
 - the precondition is "weakest" (fewest assumptions)
 - the postcondition is "strongest" (most conclusions)
- Formally:
 - We say "D is (strictly) weaker than C" and "C is (strictly) stronger than D" if $C \Rightarrow D$ (and $D \neq C$).
 - A is a weakest precondition of program c for postcondition B iff every precondition A_0 satisfying $\{A_0\}c\{B\}$ implies A.
 - B is a strongest postcondition of program c for precondition A iff B implies every postcondition B_0 satisfying $\{A\}c\{B_0\}$.

Idea

wp(c, B) should return a weakest precondition A for command c with postcondition B.

 $wp(\mathbf{skip}, B) = ?$

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Idea

wp(c,B) should return a weakest precondition A for command c with postcondition B.

$$\begin{split} wp(\texttt{skip},B) &= B\\ wp(c_1;c_2,B) &= wp(c_1,wp(c_2,B)) \end{split}$$

Idea

$$wp(\mathbf{skip}, B) = B$$
$$wp(c_1; c_2, B) = wp(c_1, wp(c_2, B))$$
$$wp(\mathbf{x} := e, B) =$$

Idea

$$wp(\mathbf{skip}, B) = B$$
$$wp(c_1; c_2, B) = wp(c_1, wp(c_2, B))$$
$$wp(\mathbf{x} := e, B) = B[e/x]$$

Idea

$$\begin{split} wp(\texttt{skip},B) &= B\\ wp(c_1;c_2,B) &= wp(c_1,wp(c_2,B))\\ wp(\texttt{x:=}\,e,B) &= B[e/x]\\ wp(\texttt{if}\ b\ \texttt{then}\ c_1\ \texttt{else}\ c_2,B) &= \end{split}$$

Idea

$$\begin{split} wp(\texttt{skip}, B) &= B\\ wp(c_1; c_2, B) &= wp(c_1, wp(c_2, B))\\ wp(\texttt{x}:= e, B) &= B[e/x]\\ wp(\texttt{if } b \texttt{ then } c_1 \texttt{ else } c_2, B) &= (b \Rightarrow wp(c_1, B)) \land (\neg b \Rightarrow wp(c_2, B)) \end{split}$$

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$$\begin{split} wp(\texttt{skip}, B) &= B\\ wp(c_1; c_2, B) &= wp(c_1, wp(c_2, B))\\ wp(\texttt{x}:= e, B) &= B[e/x]\\ wp(\texttt{if } b \texttt{ then } c_1 \texttt{ else } c_2, B) &= (b \Rightarrow wp(c_1, B)) \land (\neg b \Rightarrow wp(c_2, B))\\ wp(\texttt{while } b \texttt{ do } c, B) &= \end{split}$$

Idea

$$\begin{split} wp(\texttt{skip}, B) &= B\\ wp(c_1; c_2, B) &= wp(c_1, wp(c_2, B))\\ wp(\texttt{x}:= e, B) &= B[e/x]\\ wp(\texttt{if } b \texttt{ then } c_1 \texttt{ else } c_2, B) &= (b \Rightarrow wp(c_1, B)) \land (\neg b \Rightarrow wp(c_2, B))\\ wp(\texttt{while } b \texttt{ do } c, B) &= \texttt{undecidable?} \end{split}$$

Idea

$$\begin{split} wp(\texttt{skip}, B) &= B\\ wp(c_1; c_2, B) &= wp(c_1, wp(c_2, B))\\ wp(\texttt{x}:= e, B) &= B[e/x]\\ wp(\texttt{if } b \texttt{ then } c_1 \texttt{ else } c_2, B) &= (b \Rightarrow wp(c_1, B)) \land (\neg b \Rightarrow wp(c_2, B))\\ wp(\texttt{while } b \texttt{ do } c, B) &= \forall \sigma \in \Sigma, \forall \bar{k}, \left(\forall \bar{i}, (0 \leq \bar{i} < \bar{k}) \Rightarrow \mathcal{C}[\![c]\!]^{\bar{i}} \sigma \models b\right)\\ &\Rightarrow (\mathcal{C}[\![c]\!]^{\bar{k}} \sigma \models b \lor B) \end{split}$$

Idea

wp(c, B) should return a weakest precondition A for command c with postcondition B.

$$\begin{split} wp(\texttt{skip}, B) &= B\\ wp(c_1; c_2, B) &= wp(c_1, wp(c_2, B))\\ wp(\texttt{x}:= e, B) &= B[e/x]\\ wp(\texttt{if } b \texttt{ then } c_1 \texttt{ else } c_2, B) &= (b \Rightarrow wp(c_1, B)) \land (\neg b \Rightarrow wp(c_2, B))\\ wp(\texttt{while } b \texttt{ do } c, B) &= \forall \sigma \in \Sigma, \forall \bar{k}, (\forall \bar{i}, (0 \leq \bar{i} < \bar{k}) \Rightarrow \mathbb{C}\llbracket c \rrbracket^{\bar{i}} \sigma \models b)\\ &\Rightarrow (\mathbb{C}\llbracket c \rrbracket^{\bar{k}} \sigma \models b \lor B) \end{split}$$

Not supported by our assertion language (but turns out one can encode them):

- quantification over non-integers ($\forall \sigma \in \Sigma \dots$)
- all of denotational semantics(!) (C[[c]])
- function *n*-composition (*fⁿ*)
- axiomatic denotations (⊨)

Exercises and Supplemental Topics

- Exercise: Define an algorithm sp(A, c) that computes the strongest postcondition B for program c with precondition A.
 - Don't worry about while-loops (hard!)
 - Mostly similar to wp algorithm but assignment rule is messy
- More (optional) topics:
 - Read about *Dijkstra guarded commands*.
 - Read "The Science of Programming" by David Gries (classic text).
 - Read about verification condition generators.