

Is we do many many searches,  
how to minimize total  
search time?

If node  $x$  is a more  
frequent search than  
 $y$ , we want  $x$  to have the  
lower depth.

If some nodes are searched for much more frequently, best tree could have depth  $\Omega(n)$ .

# Optimal Binary Search Tree

Given: 1) A sorted array  $A[1..n]$  of keys for the nodes.

2) An array  $f[1..n]$  of access frequencies.

We search for  $A[i]$  a total of  $f[i]$  times.

Goal: Build best static BST to minimize total search time.

For a given BST  $T$ ,

let  $v_1, v_2, \dots, v_n$  be its nodes  
in sorted order so  $v_i$  stores  
 $A[i]$ .

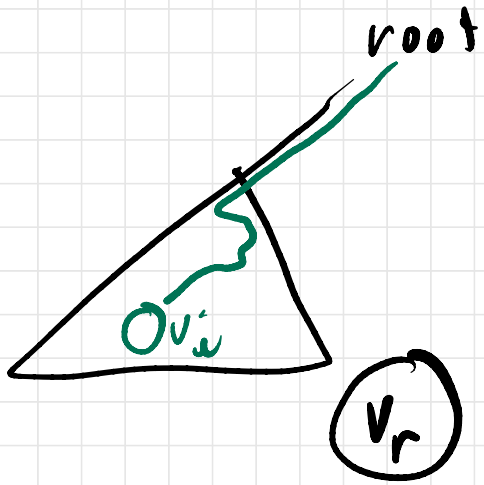
$\text{Cost}(T, f[1..n]) :=$

$$\sum_{i=1}^n f[i] \cdot \left( \begin{array}{l} \# \text{ ancestors of} \\ v_i \text{ in } T \end{array} \right)$$

(node's depth + 1)

(root has one ancestor:  
itself)

binary search tree:



right subtree

$v_r$ : root node

If  $i < r$ , all ancestors of  $v_i$  except  $v_r$  are in left subtree.

$$\text{Cost}(T, f[1..n]) = \sum_{i=1}^n f[i] \cdot 1$$

$$+ \sum_{i=1}^{r-1} f[i] \cdot \left( \begin{array}{l} \# \text{ ancestors in} \\ \text{left}(T) \end{array} \right)$$

$$+ \sum_{i=r+1}^n f[i] \cdot \left( \begin{array}{l} \# \text{ ancestors in} \\ \text{right}(T) \end{array} \right)$$

$$\text{Cost}(T, f[1..n]) = \sum_{i=1}^n f[i] +$$

$$\text{Cost}(\text{left}(T), f[1..r-1]) \\ + \text{Cost}(\text{right}(T), f[r+1..n])$$

$$\text{Cost}(T, f[1..0]) = 0$$

Opt Cost( $i, k$ ): optimal cost for  
BST over  $A[i..k]$ .

Opt Cost( $i, k$ ) =

$$\begin{cases} 0 & \text{if } i > k \\ \sum_{j=i}^k f[j] + \min_{i \leq r \leq k} \left\{ \begin{array}{l} \text{Opt Cost}(i, r-1) \\ + \text{Opt Cost}(r+1, k) \end{array} \right\} & \text{o.w.} \end{cases}$$

Final goal: Compute  $\text{Opt Cost}(1, n)$

$$F(i, k) := \sum_{j=i}^k f[j].$$

$$F(i, k) = \begin{cases} 0 & \text{if } i > k \\ F(i, k-1) + f[k] & \text{o.w.} \end{cases}$$

Goal: Fill array  $F[1..n, 1..n]$ .

$O(n^2)$  subproblems in  $O(1)$  time each.

INITF( $f[1..n]$ ):

for  $i \leftarrow 1$  to  $n$

$F[i, i-1] \leftarrow 0$

    for  $k \leftarrow i$  to  $n$

$F[i, k] \leftarrow F[i, k-1] + f[k]$

$$\text{OptCost}(i, k) = \begin{cases} 0 & \text{if } i > k \\ F[i, k] + \min_{i \leq r \leq k} \left\{ \begin{array}{l} \text{OptCost}(i, r-1) \\ + \text{OptCost}(r+1, k) \end{array} \right\} & \text{otherwise} \end{cases}$$



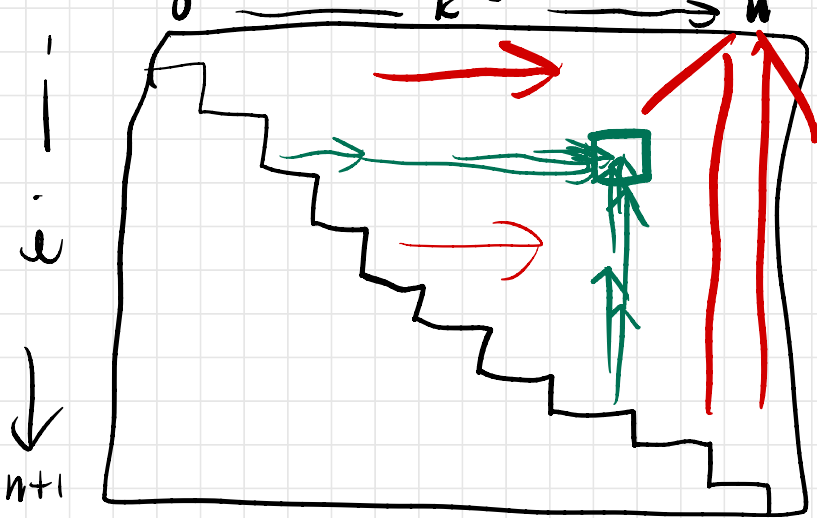
Memorization:

subproblems:  $1 \leq i \leq \underline{n+1}$

$0 \leq k \leq n$

memo data structure:

$OptCost[1..n+1, 0..n]$



COMPUTEOPTCOST( $i, k$ ):

$OptCost[i, k] \leftarrow \infty$

for  $r \leftarrow i$  to  $k$

$tmp \leftarrow OptCost[i, r - 1] + OptCost[r + 1, k]$

    if  $OptCost[i, k] > tmp$

$OptCost[i, k] \leftarrow tmp$

$OptCost[i, k] \leftarrow OptCost[i, k] + F[i, k]$

OPTIMALBST2( $f[1..n]$ ):

INITF( $f[1..n]$ )

for  $i \leftarrow n + 1$  downto 1

$OptCost[i, i - 1] \leftarrow 0$

    for  $j \leftarrow i$  to  $n$

        COMPUTEOPTCOST( $i, j$ )

return  $OptCost[1, n]$

$O(n^3)$  time

$O(n^2)$  subproblems

$O(n)$  per problem

$O(n^2)$  with more work!

[Erickson D.3]

Independent Set of a graph: set of vertices that have no shared edges

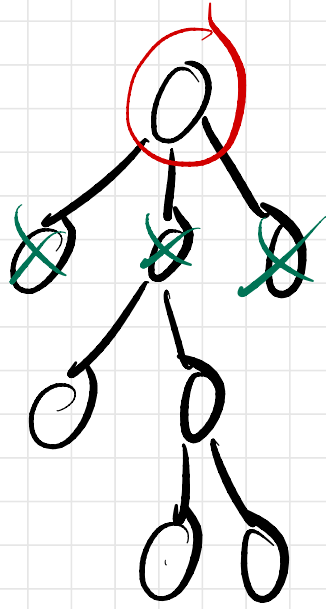
max ind set: given a graph, find max size ind. set

REALLY HARD

(for arbitrary input graphs)

So, say we're given a tree  $T$ .

Root it...



Do we include the root?

if no, find max ind sets in each subtree independently

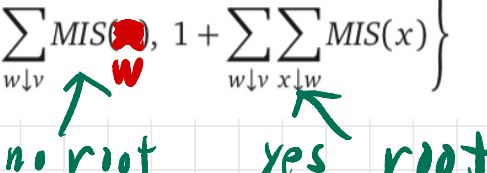
if yes... find sets in grandchild subtrees!

$MIS(v)$ : size of MIS  
in subtree rooted at  $v$

$w \downarrow v$ : " $w$  is a child of  $v$ "

a child of  $v$ .

$$MIS(v) = \max \left\{ \sum_{w \downarrow v} MIS(w), 1 + \sum_{w \downarrow v} \sum_{x \downarrow w} MIS(x) \right\}$$



Next time: how to  
memoize!