

# Database Management Systems

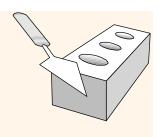


Chapter 1

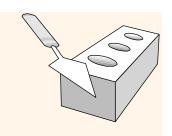
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- ❖ A very large, integrated collection of data.
- ❖ Models real-world <u>enterprise</u>.
  - Entities (e.g., students, courses)
  - Relationships (e.g., Madonna is taking CS564)
- ❖ A <u>Database Management System (DBMS)</u> is a software package designed to store and manage databases.

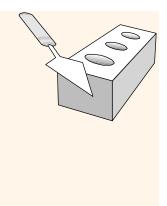


#### Files vs. DBMS

- Application must stage large datasets between main memory and secondary storage (e.g., buffering, page-oriented access, 32-bit addressing, etc.)
- Special code for different queries
- Must protect data from inconsistency due to multiple concurrent users
- Crash recovery
- Security and access control



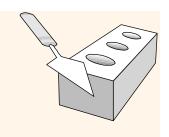




- Data independence and efficient access.
- Reduced application development time.
- Data integrity and security.
- \* Uniform data administration.
- Concurrent access, recovery from crashes.

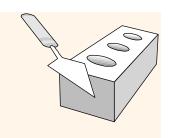
# Why Study Databases??

- \* Shift from *computation* to *information* 
  - at the "low end": scramble to webspace (a mess!)
  - at the "high end": scientific applications
- \* Datasets increasing in diversity and volume.
  - Digital libraries, interactive video, Human Genome project, EOS project
  - ... need for DBMS exploding
- DBMS encompasses most of CS
  - OS, languages, theory, AI, multimedia, logic



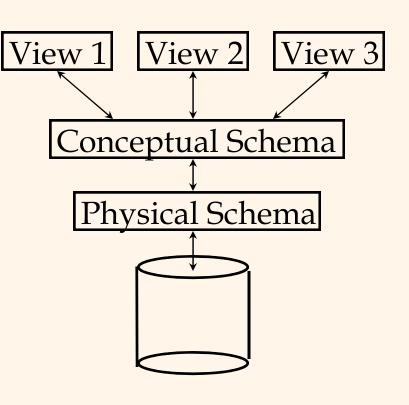
#### Data Models

- \* A <u>data model</u> is a collection of concepts for describing data.
- \* A <u>schema</u> is a description of a particular collection of data, using the a given data model.
- \* The <u>relational model of data</u> is the most widely used model today.
  - Main concept: <u>relation</u>, basically a table with rows and columns.
  - Every relation has a <u>schema</u>, which describes the columns, or fields.

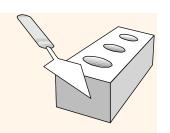


# Levels of Abstraction

- Many <u>views</u>, single <u>conceptual (logical) schema</u> and <u>physical schema</u>.
  - Views describe how users see the data.
  - Conceptual schema defines logical structure
  - Physical schema describes the files and indexes used.



<sup>\*</sup> Schemas are defined using DDL; data is modified/queried using DML.



## Example: University Database

#### Conceptual schema:

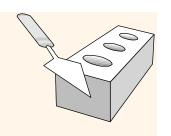
- Students(sid: string, name: string, login: string, age: integer, gpa:real)
- Courses(cid: string, cname:string, credits:integer)
- Enrolled(sid:string, cid:string, grade:string)

#### Physical schema:

- Relations stored as unordered files.
- Index on first column of Students.

#### External Schema (View):

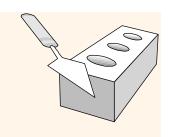
Course\_info(cid:string,enrollment:integer)



# Data Independence \*

- \* Applications insulated from how data is structured and stored.
- \* <u>Logical data independence</u>: Protection from changes in *logical* structure of data.
- \* *Physical data independence*: Protection from changes in *physical* structure of data.

<sup>\*</sup> One of the most important benefits of using a DBMS!

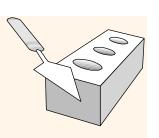


# Concurrency Control

- Concurrent execution of user programs is essential for good DBMS performance.
  - Because disk accesses are frequent, and relatively slow, it is important to keep the cpu humming by working on several user programs concurrently.
- Interleaving actions of different user programs can lead to inconsistency: e.g., check is cleared while account balance is being computed.
- \* DBMS ensures such problems don't arise: users can pretend they are using a single-user system.

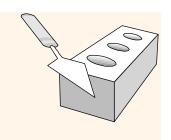
#### Transaction: An Execution of a DB Program

- \* Key concept is *transaction*, which is an *atomic* sequence of database actions (reads/writes).
- \* Each transaction, executed completely, must leave the DB in a *consistent state* if DB is consistent when the transaction begins.
  - Users can specify some simple <u>integrity constraints</u> on the data, and the DBMS will enforce these constraints.
  - Beyond this, the DBMS does not really understand the semantics of the data. (e.g., it does not understand how the interest on a bank account is computed).
  - Thus, ensuring that a transaction (run alone) preserves consistency is ultimately the user's responsibility!



### Scheduling Concurrent Transactions

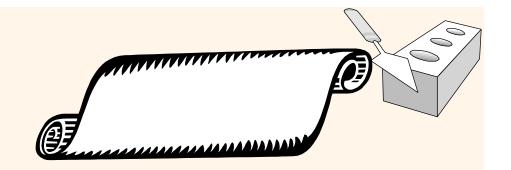
- \* DBMS ensures that execution of {T1, ..., Tn} is equivalent to some <u>serial</u> execution T1' ... Tn'.
  - Before reading/writing an object, a transaction requests a lock on the object, and waits till the DBMS gives it the lock. All locks are released at the end of the transaction. (<u>Strict 2PL</u> locking protocol.)
  - Idea: If an action of Ti (say, writing X) affects Tj (which perhaps reads X), one of them, say Ti, will obtain the lock on X first and Tj is forced to wait until Ti completes; this effectively orders the transactions.
  - What if Tj already has a lock on Y and Ti later requests a lock on Y? (Deadlock!) Ti or Tj is aborted and restarted!



# Ensuring Atomicity

- \* DBMS ensures *atomicity* (all-or-nothing property) even if system crashes in the middle of a Xact.
- \* Idea: Keep a <u>log</u> (history) of all actions carried out by the DBMS while executing a set of Xacts:
  - Before a change is made to the database, the corresponding log entry is forced to a safe location.
    (WAL protocol; OS support for this is often inadequate.)
  - After a crash, the effects of partially executed transactions are <u>undone</u> using the log. (Thanks to WAL, if log entry wasn't saved before the crash, corresponding change was not applied to database!)

## The Log



- The following actions are recorded in the log:
  - *Ti writes an object*: The old value and the new value.
    - Log record must go to disk <u>before</u> the changed page!
  - *Ti commits/aborts*: A log record indicating this action.
- \* Log records chained together by Xact id, so it's easy to undo a specific Xact (e.g., to resolve a deadlock).
- ❖ Log is often duplexed and archived on "stable" storage.
- \* All log related activities (and in fact, all CC related activities such as lock/unlock, dealing with deadlocks etc.) are handled transparently by the DBMS.

# Databases make these folks happy ...

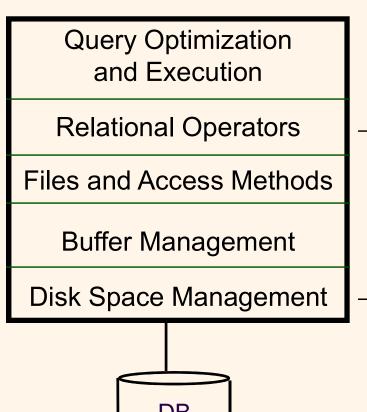
- End users and DBMS vendors
- DB application programmers
  - E.g., smart webmasters
- Data scientists
  - Still lots of relational data
  - Most of the recent noSQL systems are relaxations of the ACID properties

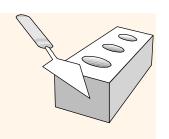
Must understand how a DBMS works!

## Structure of a DBMS

These layers must consider concurrency control and recovery

- A typical DBMS has a layered architecture.
- The figure does not show the concurrency control and recovery components.
- \* This is one of several possible architectures; each system has its own variations.





#### Summary

- DBMS used to maintain, query large datasets.
- Benefits include recovery from system crashes, concurrent access, quick application development, data integrity and security.
- Levels of abstraction give data independence.
- ❖ A DBMS typically has a layered architecture.
- ❖ Data scientist is the sexiest job of 21<sup>st</sup> century<sup>©</sup>
- DBMS R&D is one of the broadest, most exciting areas in CS.