

# Chapter 4. Context-Free Grammars

## 4.1. Introduction.

Context-free grammars (CFGs) or BNFs are very useful in describing syntax of natural or programming languages.

A CFG is essentially a collection of syntax rules which can be used to derive strings in a language.

Ex. Syntax of a class of simple arithmetic expressions involving +, \*

$$\begin{aligned}
 \langle \text{expr.} \rangle &\rightarrow \langle \text{expr} \rangle + \langle \text{term} \rangle & (1) \\
 &\langle \text{term} \rangle & (2) \\
 \langle \text{term} \rangle &\rightarrow \langle \text{term} \rangle * \langle \text{factor} \rangle & (3) \\
 &\langle \text{factor} \rangle & (4) \\
 \langle \text{factor} \rangle &\rightarrow ( \langle \text{expr} \rangle ) & (5) \\
 &a & (6)
 \end{aligned}$$

Starting with  $\langle \text{expr} \rangle$  we can generate certain arithmetic expressions using the 6 rules above.

For example,

$$\begin{aligned}
\langle \text{expr} \rangle &\Rightarrow \langle \text{expr} \rangle + \langle \text{term} \rangle && (1) \\
&\Rightarrow \langle \text{expr} \rangle + \langle \text{term} \rangle \times \langle \text{factor} \rangle && (3) \\
&\Rightarrow \langle \text{expr} \rangle + \langle \text{term} \rangle \times a && (6) \\
&\Rightarrow \langle \text{expr} \rangle + \langle \text{factor} \rangle \times a && (4) \\
&\Rightarrow \langle \text{expr} \rangle + a \times a && (6) \\
&\Rightarrow \langle \text{term} \rangle + a \times a && (2) \\
&\Rightarrow \langle \text{term} \rangle \times \langle \text{factor} \rangle + a \times a && (3) \\
&\Rightarrow \langle \text{term} \rangle \times a + a \times a && (6) \\
&\Rightarrow \langle \text{factor} \rangle \times a + a \times a && (4) \\
&\Rightarrow a \times a + a \times a && (6)
\end{aligned}$$

The derivation terminates at this point and the expression obtained is  $a \times a + a \times a$

Remark.  $\langle \text{expr} \rangle$ ,  $\langle \text{term} \rangle$ ,  $\langle \text{factor} \rangle$  are nonterminals / variables, whereas  $(, )$ ,  $+$ ,  $\times$ ,  $a$  are terminal symbols.

Ex. Syntax of reg. expr. over  $\{0,1\}$

$$\begin{aligned}
\langle \text{expr} \rangle &\rightarrow (\langle \text{expr} \rangle + \langle \text{expr} \rangle) && (1) \\
\langle \text{expr} \rangle &\rightarrow (\langle \text{expr} \rangle \langle \text{expr} \rangle) && (2) \\
\langle \text{expr} \rangle &\rightarrow (\langle \text{expr} \rangle^*) && (3) \\
\langle \text{expr} \rangle &\rightarrow 0 && (4) \\
&\quad | && (5) \\
&\quad \varepsilon && (6) \\
&\quad \phi && (7)
\end{aligned}$$

For example,

$$\langle \text{expr} \rangle \Rightarrow (\langle \text{expr} \rangle + \langle \text{expr} \rangle) \quad (1)$$

$$\Rightarrow ((\langle \text{expr} \rangle \langle \text{expr} \rangle) + \langle \text{expr} \rangle) \quad (2)$$

$$\Rightarrow ((0 \langle \text{expr} \rangle) + \langle \text{expr} \rangle) \quad (4)$$

$$\Rightarrow ((01) + \langle \text{expr} \rangle) \quad (5)$$

$$\Rightarrow ((01) + (\langle \text{expr} \rangle^*)) \quad (3)$$

$$\Rightarrow ((01) + (\emptyset^*)) \quad (7)$$

Ex: How to generate  $\{0^k \# 1^k \mid k \geq 0\}$ ?

$$S \rightarrow 0S1 \mid \quad (1)$$

$$\# \quad (2)$$

For example,

$$S \Rightarrow 0S1 \Rightarrow 00S11 (= 0^2 S 1^2)$$

$$\Rightarrow \dots \Rightarrow 0^k S 1^k$$

$$\Rightarrow 0^k \# 1^k.$$

Note that the grammar

$$S \rightarrow A \# B$$

$$A \rightarrow 0A \mid \varepsilon$$

$$B \rightarrow 1B \mid \varepsilon$$

generates the language

$$\{0^m \# 1^n \mid m, n \geq 0\}$$

In defining a CFG we need to specify

- (1) The set of terminal symbols
- (2) The set of nonterminals/variables  
(Note (1) and (2) must be disjoint)
- (3) The set of rules/productions
- (4) The start symbol that begins derivations.

## 4.2. Context-Free Grammars

Def. A context-free grammar (CFG) is a 4-tuple  $G = (V, \Sigma, R, S)$  where :

- (1)  $V$  is a finite set of nonterminals/variables
- (2)  $\Sigma$  is a finite set of terminals,  
and  $V \cap \Sigma = \emptyset$   
(a symbol is either a terminal or a nonterminal)
- (3)  $R \subseteq V \times (V \cup \Sigma)^*$  is a finite set of rules/productions
- (4)  $S \in V$  is start symbol

A rule or production is a pair

$$(A, \alpha) \in V \times (V \cup \Sigma)^*$$

also written as  $A \rightarrow \alpha$ .

Ex.  $G = (\underbrace{\{S\}}_V, \underbrace{\{0, 1, \#\}}_\Sigma, \underbrace{\{S \rightarrow 0S1 \mid \#\}}_R, S) \quad \square$

Let  $G = (V, \Sigma, R, S)$  be a CFG.

Define  $\xRightarrow{G}$  and  $\xRightarrow{*G}$  as follows

(1) For  $A \rightarrow \alpha \in R$ ,  $\beta, \gamma \in (V \cup \Sigma)^*$  we write:

$$\beta A \gamma \xRightarrow{G} \beta \alpha \gamma$$

$\beta A \gamma$  directly derives  $\beta \alpha \gamma$   
(or directly yields)

e.g.  $0^k \underbrace{S}_A 1^k \xRightarrow{G} 0^k \underbrace{\#}_\alpha 1^k$

(2) For  $\theta_0, \dots, \theta_m \in (V \cup \Sigma)^*$ ,  $m \geq 0$  and

$$\theta_0 \xRightarrow{G} \theta_1 \xRightarrow{G} \dots \xRightarrow{G} \theta_m \quad (\S)$$

we write

$$\theta_0 \xRightarrow{*G} \theta_m$$

$\theta_0$  derives  $\theta_m$   
(or yields)

(§) is called a derivation and  $m$  is its length

Thus,  $\alpha \xrightarrow[G]{*} \beta$  if and only if there exists a derivation

$$\alpha = \theta_0 \xrightarrow[G]{} \theta_1 \xrightarrow[G]{} \dots \xrightarrow[G]{} \theta_m = \beta$$

(3) If  $\alpha = \theta_0 \xrightarrow[G]{} \theta_1 \xrightarrow[G]{} \dots \xrightarrow[G]{} \theta_i = \beta$  is a derivation of length  $i$ , we write

$$\alpha \xrightarrow[G]{i} \beta$$

Remark. If  $G$  is understood, we write  $\Rightarrow$ ,  $\xRightarrow{*}$  and  $\xRightarrow{i}$ .

(Note that as bin. rel. over  $(\forall \cup \Sigma)^*$   $\xRightarrow{*}$  is the refl. & trans. closure of  $\Rightarrow$ , and  $\xRightarrow{i}$  is the  $i$ -fold composition of  $\Rightarrow$ .)  $\square$

If  $S \xRightarrow{*} \alpha$ , then  $\alpha$  is called a sentential form.

If  $\alpha \in \Sigma^*$ , i.e.  $\alpha$  is also a term string, then  $\alpha$  is a sentence

The language generated by  $G$  is

$$L(G) = \{ w \in \Sigma^* \mid S \xRightarrow{*} w \}$$

= set of sentences generated by  $G$ .

Two CFGs  $G_1$  and  $G_2$  are said to be equivalent,  $G_1 \sim G_2$ , if  $L(G_1) = L(G_2)$ .

A language  $L \subseteq \Sigma^*$  is called a context-free language if  $L = L(G)$  for some CFG  $G$ . (CFL)

Remark. If  $A \rightarrow \alpha_1, \dots, A \rightarrow \alpha_k \in R$  are productions, we use the shorthand:  $A \rightarrow \alpha_1 | \dots | \alpha_k$ .

Ex. (1)  $G : S \rightarrow aSa \mid aBa$   
 $B \rightarrow bB \mid b$

$$L(G) = \{ a^n b^m a^n \mid n, m > 0 \}$$

e.g.

$$S \Rightarrow aSa$$

$$\vdots$$

$$\Rightarrow a^k S a^k$$

$$k \geq 0$$

$$\Rightarrow a^{k+1} B a^{k+1}$$

$$\vdots$$

$$\Rightarrow a^{k+1} b^l B a^{k+1}$$

$$l \geq 0$$

$$\Rightarrow a^{k+1} b^{l+1} a^{k+1}$$

□

(2)  $G_1 : S \rightarrow AB$

$A \rightarrow aA \mid a$

$B \rightarrow bB \mid \varepsilon$

$G_2 : S \rightarrow aS \mid aB$

$B \rightarrow bB \mid \varepsilon$

$$L(G_1) = a^+ b^* = L(G_2) \quad \square$$

$$G_1 \sim G_2$$

$$(3) \quad G : \quad S \rightarrow aSd^2 \mid A$$

$$A \rightarrow bAc \mid bc$$

$$L(G) = \{ a^n b^m c^m d^{2n} \mid n \geq 0, m > 0 \}$$

$$(4) \quad G : \quad S \rightarrow aSa \mid bSb \mid a \mid b \mid \varepsilon$$

(this is from the rec. def of palindromes)

$$L(G) = \{ w \in \{a,b\}^* \mid w = w^R \}$$

$$(5) \quad G : \quad S \rightarrow (S) \mid SS \mid \varepsilon$$

$$L(G) = D = \text{set of well-formed parentheses strings over } \{(,)\}$$

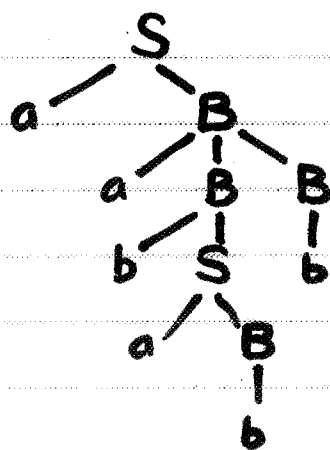
### 4.3. Derivation Trees

Consider the CFG  $G$ :

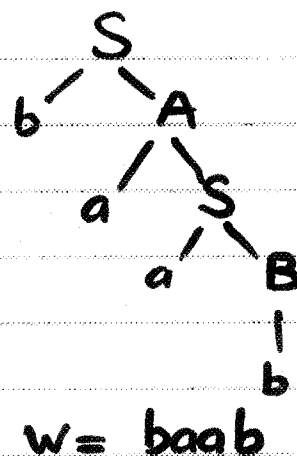
$$S \rightarrow aB \mid bA$$

$$A \rightarrow aS \mid bAA \mid a$$

$$B \rightarrow bS \mid aBB \mid b$$



$$w = aababb$$



$$w = baab$$



Let  $G = (V, \Sigma, R, S)$  be a CFG.

A labelled, ordered tree  $T$  is called a derivation tree (parse tree) in  $G$  if:

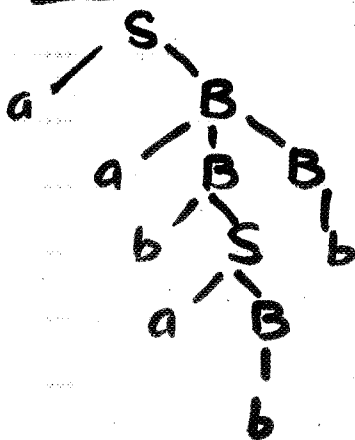
- (1) root of  $T$  is labeled by some nonterminal in  $G$  (spec.  $S$ )
- (2) if a node labeled  $A \in V$  has children labeled  $Y_1, \dots, Y_k$ , then

$$A \rightarrow Y_1 \dots Y_k \in R$$

The frontier of  $T$  is the string obtained by concatenating the labels of the leaves of  $T$  in the order from left to right.

If frontier of  $T$  is a terminal string then  $T$  is a terminal derivation tree

Ex:



$$\begin{aligned}
 S &\Rightarrow a\underline{B} \\
 &\Rightarrow aa\underline{BB} \\
 &\Rightarrow aa\underline{B}b \\
 &\Rightarrow aab\underline{S}b \\
 &\Rightarrow aaba\underline{B}b \\
 &\Rightarrow aabab\underline{b}
 \end{aligned}$$

$$\begin{aligned}
 S &\xRightarrow{\epsilon_m} a\underline{B} \\
 &\xRightarrow{\epsilon_m} aa\underline{BB} \\
 &\xRightarrow{\epsilon_m} aab\underline{S}B \\
 &\xRightarrow{\epsilon_m} aaba\underline{BB} \\
 &\xRightarrow{\epsilon_m} aabab\underline{B} \\
 &\xRightarrow{\epsilon_m} aabab\underline{b}
 \end{aligned}$$

A derivation  $\Theta_0 \xRightarrow{*} \Theta_m$  is said to be leftmost, written  $\Theta_0 \xRightarrow{lm}^* \Theta_m$ , if for  $i = 0, \dots, m-1$ , the production in the  $i$ -th step  $\Theta_{i-1} \Rightarrow \Theta_i$  is applied at the leftmost nonterm. in  $\Theta_{i-1}$ .

Similarly we define a rightmost derivation.

Proposition.  $S \xRightarrow{*} \alpha$  iff

there is a derivation tree with frontier  $\alpha$   $\square$

Remark. There is a one-one correspondence between derivation trees and leftmost (rightmost) derivations.

#### 4.4. Designing CFGs

Although there is no recipe for this, in many cases we can apply the techniques of recursion and decomposition:

- (1) Decompose the given language into simpler components
- (2) Provide a recursive definition for a given language.

Ex. How to generate  $L = \{a^n b^n \mid n \geq 0\}$

Observe  $L$  can be def. rec.:

(1) Basis.  $\epsilon \in L$

(2) Rec. Step.  $w \in L \Rightarrow awb \in L$

(3) Nothing else is in  $L$ .

From this we obtain the CFG

$$G : S \rightarrow aSb \mid \epsilon$$

↑ (rec. step)
↑ (basis)

Ex. How to generate

$$L = \{w \in \{a, b\}^* \mid \#_a(w) = \#_b(w)\}$$

Goal: A rec. def. for  $L$ .

(1) Basis.  $\epsilon \in L$

(2) Rec. Step. If  $x, y \in L$ , then so are  $axb$ ,  $bxa$  and  $xy$

(3) Nothing else is in  $L$

Q. Is this def. correct?

(Does this def. generate all strings in  $L$  and only strings in  $L$ ?)

Assuming this def. is correct, we have the following CFG for  $L$ :

$G: S \rightarrow aSb \mid bSa \mid SS \mid \epsilon$

Ex. Constr. a CFG for

$$L = \{ a^i b^j \mid i < j < 2i \}$$

Goal: To come up with a rec. def. for  $L$ .

What are the shortest strings in  $L$ ?

$i = 1: 1 < j < 2 \Rightarrow$  no value exists for  $j$

$i = 2: 2 < j < 4 \Rightarrow j = 3$

Thus the shortest string in  $L$  is

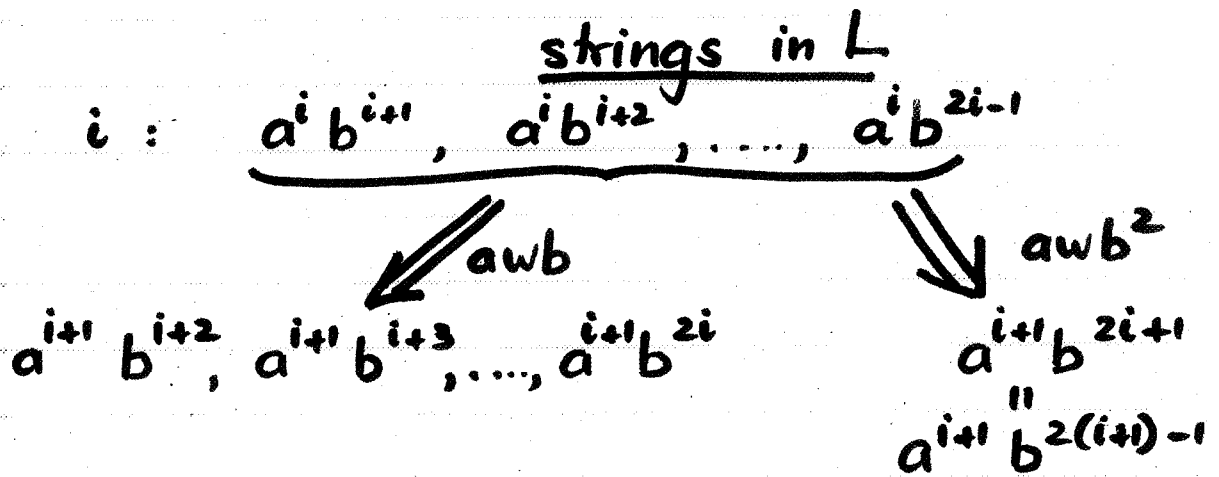
$a^2 b^3$  which should belong to basis.

Q: How to generate from  $a^2 b^3$  other strings in  $L$ ?

In particular we got to generate for  $i=3$  the strings  $a^3b^4, a^3b^5$

If we use the scheme  $awb$  we obtain only  $a^3b^4$  from  $a^2b^3$ .

It appears that we also need the scheme  $awb^2$ .



Thus, we def.  $L$  rec. as follows:

- (1) Basis.  $a^2 b^3 \in L$
- (2) Rec. Step. if  $w \in L$ , then so are  $awb$  and  $awb^2$
- (3) Nothing else is in  $L$ .

Hence, the CFG for  $L$  is:

$$G: S \rightarrow aSb \mid aSb^2 \mid a^2b^3$$

Q. Can we provide a rigorous proof for the correctness of  $G$ ?

Ex: (Decomposition)

How to constr. a CFG for

$$L = \{ a^i b^j \mid i \neq j \} ?$$

Observe that

$$L = \underbrace{\{ a^i b^j \mid i < j \}}_{L_1} \cup \underbrace{\{ a^i b^j \mid i > j \}}_{L_2}$$

For  $L_1$ :  $B \rightarrow aBb \quad B \rightarrow Bb \mid b$

For  $L_2$ :  $A \rightarrow aAb \quad A \rightarrow aA \mid a$

Combining these productions we obtain for  $L$ :

$$G: \quad S \rightarrow A \mid B \\ A \rightarrow aAb \mid aA \mid a \\ B \rightarrow aBb \mid Bb \mid b$$

Ex: Constr. a CFG for

$$\hat{L} = \{ a^i b^j c^k \mid i \neq j \text{ or } j \neq k \}$$

Observe that

$$\hat{L} = \underbrace{\{ a^i b^j c^k \mid i \neq j \}}_{\hat{L}_1} \cup \underbrace{\{ a^i b^j c^k \mid j \neq k \}}_{\hat{L}_2}$$

Now,  $\hat{L}_1 = L \cdot c^*$ . For  $\hat{L}_1$ , we have:

$$\begin{aligned} \hat{S}_1 &\rightarrow S_1 C_1 & C_1 &\rightarrow c C_1 \mid \varepsilon \\ S_1 &\rightarrow A_1 \mid B_1 \\ A_1 &\rightarrow a A_1 b \mid a A_1 \mid a \\ B_1 &\rightarrow a B_1 b \mid B_1 b \mid b \end{aligned}$$

Similarly for  $\hat{L}_2$  we have:

$$\hat{L}_2 = a^* \{ b^j c^k \mid j \neq k \}$$

Hence,  $\hat{L}_2$  can be generated by:

$$\hat{S}_2 \rightarrow A_2 S_2 \quad A_2 \rightarrow a A_2 \mid \epsilon$$

$$S_2 \rightarrow B_2 \mid C_2$$

$$B_2 \rightarrow b B_2 c \mid b B_2 \mid b$$

$$C_2 \rightarrow b C_2 c \mid C_2 c \mid c$$

Adding  $\hat{S} \rightarrow \hat{S}_1 \mid \hat{S}_2$  we obtain the following CFG for  $\hat{L}$ :

$$\hat{S} \rightarrow \hat{S}_1 \mid \hat{S}_2 \quad \hat{S}_1 \rightarrow S_1 C_1 \quad \hat{S}_2 \rightarrow A_2 S_2$$

$$\begin{cases} S_1 \rightarrow A_1 \mid B_1 \\ A_1 \rightarrow a A_1 b \mid a A_1 \mid a \\ B_1 \rightarrow a B_1 b \mid B_1 b \mid b \end{cases} \quad C_1 \rightarrow c C_1 \mid \epsilon$$

$$\begin{cases} S_2 \rightarrow B_2 \mid C_2 \\ B_2 \rightarrow b B_2 c \mid b B_2 \mid b \\ C_2 \rightarrow b C_2 c \mid C_2 c \mid c \end{cases} \quad A_2 \rightarrow a A_2 \mid \epsilon$$

where  $\hat{S}$  is the start symbol,

and

$$V = \{ \hat{S}, \hat{S}_1, \hat{S}_2, S_1, S_2, A_1, B_1, C_1, A_2, B_2, C_2 \}$$

## 4.6. The correctness problem.

Consider again the CFG  $G$ :

$$S \rightarrow aB \mid bA$$

$$A \rightarrow aS \mid bAA \mid a$$

$$B \rightarrow bS \mid aBB \mid b$$

Claim.  $L(G) = \{w \in \{a,b\}^+ \mid \#_a(w) = \#_b(w)\}$   
 $(= L_=)$

Notation:  $L_a = \{w \in \{a,b\}^+ \mid \#_a(w) = \#_b(w) + 1\}$

$L_b = \{w \in \{a,b\}^+ \mid \#_b(w) = \#_a(w) + 1\}$

To prove Claim we have to show the following equivalences:

$$(1) A \xrightarrow{*} w \text{ iff } w \in L_a$$

$$(2) B \xrightarrow{*} w \text{ iff } w \in L_b$$

$$(3) S \xrightarrow{*} w \text{ iff } w \in L_=$$

Pf. " $\Rightarrow$ " (the only-if direction)

By induction on length of derivations

Basis. (1)  $A \xrightarrow{*} w$  is of length 1. Then it's  $A \Rightarrow a = w$ . Obviously,  $a \in L_a$

(2)  $B \xrightarrow{*} w$  is of length 1.

Then it's  $B \Rightarrow b$ , and  $b \in L_b$

(3)  $S \xrightarrow{*} w$  is of length 2.

Then it's  $S \Rightarrow aB \Rightarrow ab = w$ , or

$S \Rightarrow bA \Rightarrow ba = w$ . Clearly,  $w \in L_=$



Inductive step.

Ind. Hypothesis: Suppose for some odd  $m \geq 1$  that

(1)  $A \xRightarrow{n} w$  implies  $w \in L_a$

(2)  $B \xRightarrow{n} w$  implies  $w \in L_b$

(3)  $S \xRightarrow{n+1} w$  implies  $w \in L_ =$

for all odd  $1 \leq n \leq m$ .

(Note that derivations in (1)+(2) have odd length, whereas those in (3) have even length.)

To (1): Consider a longer deriv.

$$A \xRightarrow{m+2} w.$$

There are 2 cases for the first step in this deriv.

$$(1.1) A \Rightarrow aS \xRightarrow{m+1} ay = w$$

Since  $S \xRightarrow{m+1} y$  is of length  $m+1$ , by ind. hyp. we have

$$y \in L_ =$$

Thus,  $w \in L_a$

$$(1.2) A \Rightarrow bAA \xRightarrow{n_1} bx \underset{w}{A} \xRightarrow{n_2} bxy$$

Since  $A \xRightarrow{n_1} x$ ,  $A \xRightarrow{n_2} y$ ,  $n_1, n_2 \leq m$ ,

by ind. hyp.,  $x, y \in L_a$

Thus,  $w \in L_a$

To (2): Consider a longer derivation  
 $B \xRightarrow{m+2} w$

As in (1), by similar argument,  
 we obtain  $w \in L_b$

To (3): Consider the derivation  
 $S \xRightarrow{m+3} w$ .

For the first step in  $S \xRightarrow{m+3}$  there  
 are 2 possibilities:

$$(3.1) \quad S \Rightarrow aB \xRightarrow{m+2} ay = w.$$

As proved in (2),  $B \xRightarrow{m+2} y$  implies  
 that  $y \in L_b$ . Therefore,

$$w \in L_ =$$

$$(3.2) \quad S \Rightarrow bA \xRightarrow{m+2} ax = w$$

By similar arg. as in (3.1), we  
 have  $w \in L_ =$

This concludes the ind. step, and

hence " $\Rightarrow$ " is proved.

We now prove " $\Leftarrow$ "

" $\Leftarrow$ ": We show that

$$(1) \quad w \in L_a \text{ implies } A \xRightarrow{*} w$$

$$(2) \quad w \in L_b \quad " \quad B \xRightarrow{*} w$$

$$(3) \quad w \in L_ = \quad " \quad S \xRightarrow{*} w$$

By induction on  $|w|$

Basis.

- (1)  $w = a$  : Clearly  $A \Rightarrow a$   
 (2)  $w = b$  :  $B \Rightarrow b$   
 (3)  $w = ab$  or  $w = ba$ .

Clearly,  $S \Rightarrow aB \Rightarrow ab$   
 and  $S \Rightarrow bA \Rightarrow ba$

Inductive Step.

Ind. Hyp. Suppose for some odd  $m \geq 1$  that

- (1)  $w \in L_a \wedge |w| = n \Rightarrow A \xrightarrow{*} w$   
 (2)  $w \in L_b \wedge |w| = n \Rightarrow B \xrightarrow{*} w$   
 (3)  $w \in L_\epsilon \wedge |w| = n+1 \Rightarrow S \xrightarrow{*} w$   
 for all odd  $1 \leq n \leq m$ .

To (1): Let  $w \in L_a$  and  $|w| = m+2$ .  
 $w$  begins with  $a$  or with  $b$

(1.1)  $w = bx$ . Then  $|x| = m+1$  and  
 $\#_a(x) = \#_b(x) + 2$ .

Q. How do we generate  $bx$  from  $A$ ?

It got to be of the form

$$A \Rightarrow bAA \xrightarrow{*} bx,$$

i.e.,  $AA \xrightarrow{*} yA \xrightarrow{*} yz = x$

If this is to be achieved, then one should be able to write  $x$  as  $x = yz$  and  $y, z \in L_a$

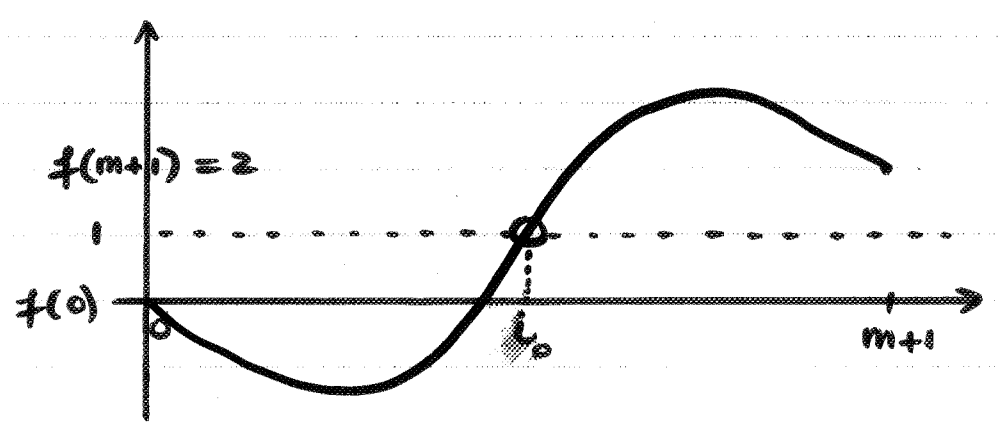
Indeed one must be able to prove the following fact:

Fact. If  $\#_a(x) = \#_b(x) + 2$ , then  $x$  can be written as  $x = yz$  s.t.  $y, z \in L_a$

Pf of Fact. Let  $x = x_1 \dots x_{m+1}$ .

Define  $f: \{0, \dots, m+1\} \rightarrow \mathbb{Z}$  by  $f(i) := \#_a(x_1 \dots x_i) - \#_b(x_1 \dots x_i)$

Then:  $f(0) = 0$ ,  $f(m+1) = 2$  and the graph of  $f$  has the form:



So there exists  $0 < i_0 < m+1$  s.t.  $f(i_0) = 1$

Letting  $y = x_1 \dots x_{i_0}$   
 and  $z = \overset{x}{y}_{i_0+1} \dots \overset{x}{y}_{m+1}$

we have:  $y, z \in L_a \wedge x = yz$   $\square$

Now, both  $y, z \in L_a$  and  $|y|, |z| \leq m$ ,  
 so by ind. hyp.

$A \overset{*}{\Rightarrow} y$  and  $A \overset{*}{\Rightarrow} z$ .

Thus we have:

$A \Rightarrow bAA \overset{*}{\Rightarrow} by A \overset{*}{\Rightarrow} byz = w$

(1.2)  $w = ax$ . Then  $x \in L_b$  and  $|x| = m+1$

By ind. hyp.  $S \overset{*}{\Rightarrow} x$ .

Thus,  $A \Rightarrow aS \overset{*}{\Rightarrow} ax = w$ .

To (2). Similar to (1).

To (3) Let  $w \in L_b$  and  $|w| = m+3$

(3.1)  $w = ax$ : Then  $x \in L_b$ ,  $|x| = m+2$ .

From (2) it follows that  $B \overset{*}{\Rightarrow} x$ .

Thus,  $S \Rightarrow aB \overset{*}{\Rightarrow} ax = w$

(3.2)  $w = bx$ : Then  $x \in L_a$ ,  $|x| = m+2$ .

From (1) it follows that  $A \overset{*}{\Rightarrow} x$ .

Thus,  $S \Rightarrow bA \overset{*}{\Rightarrow} bx = w$ .

This completes the proof of Claim.

$\square \square$

## 4.7. Regular Grammars

Q: Can we def. a subclass of CFGs that generate the reg. languages?

Def. A regular grammar is a CFG in which productions are of form:

$$(1) \quad A \rightarrow aB$$

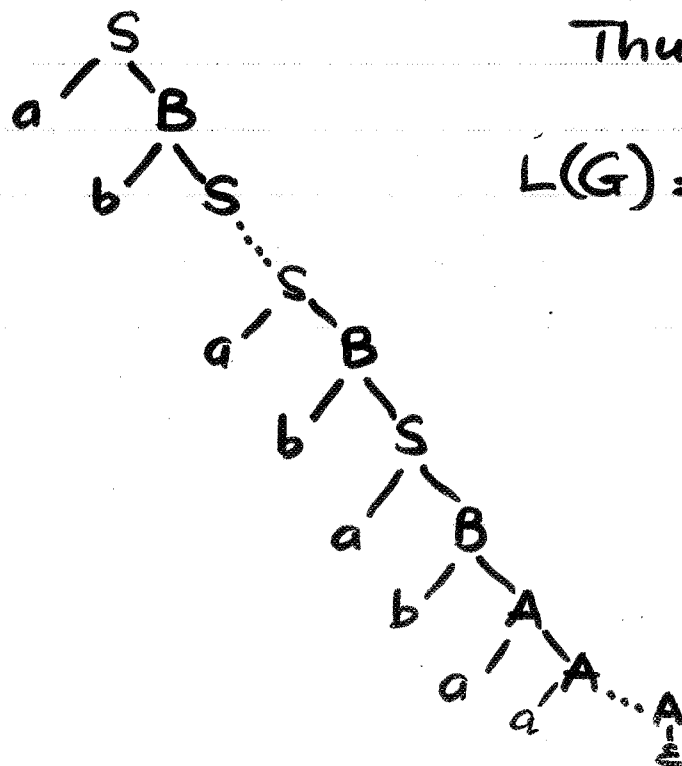
$$(2) \quad A \rightarrow a$$

$$(3) \quad A \rightarrow \varepsilon$$

where  $A, B \in V$  and  $a \in \Sigma$ .

Ex: (1)  $G: S \rightarrow aB \mid \varepsilon$   
 $B \rightarrow bS \mid bA$   
 $A \rightarrow aA \mid \varepsilon$

A derivation tree has the form



Thus

$$L(G) = (ab)^+ a^* + \varepsilon$$

$$\neq (ab)^* a^*$$

$$(2) \quad G_1: \begin{array}{l} S \rightarrow AB \\ A \rightarrow aA \mid a \\ B \rightarrow bB \mid \varepsilon \end{array} \quad G_2: \begin{array}{l} S \rightarrow aS \mid aB \\ B \rightarrow bB \mid \varepsilon \end{array}$$

$$L(G_1) = a^+b^* = L(G_2)$$

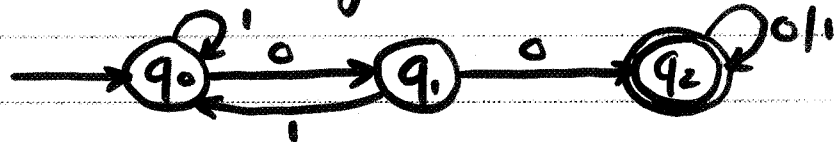
(Note that  $G_2$  is reg. whereas  $G_1$  is not.)  $\square$

## Regular grammars & Regular sets.

Goal: To show reg. grammars  $\Leftrightarrow$  FAs

Proposition.  $L = L(M)$  for some DFA  $M$   
 $\Rightarrow L = L(G)$  for some reg. gr.  $G$ .

Pf. Consider e.g. the DFA  $M$ :



Q: How to constr. equiv. reg. gr.  $G$ ?

Idea: Productions  $A \rightarrow aB$  in  $G$

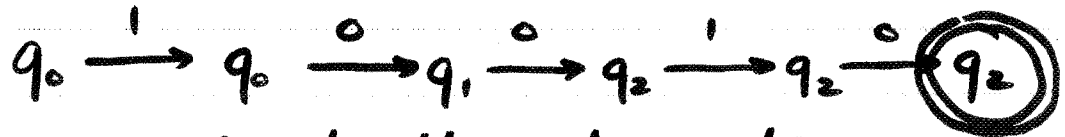
should simulate trans.  $(q_A) \xrightarrow{a} (q_B)$  in  $M$ .

Thus,  $G$  contains productions:

$$\begin{array}{ll} q_0 \rightarrow 1q_0 & q_2 \rightarrow 0q_2 \\ q_0 \rightarrow 0q_1 & q_2 \rightarrow 1q_2 \\ q_1 \rightarrow 1q_0 & \\ q_1 \rightarrow 0q_2 & \end{array}$$

As  $q_2$  is final, computation may end and accepts at  $q_2$ . Hence in  $G$  we have the prod.  $q_2 \rightarrow \varepsilon$

Thus the computation



corresponds to the derivation

$$\begin{aligned} q_0 &\Rightarrow 1q_0 \Rightarrow 10q_1 \Rightarrow 100q_2 \Rightarrow 1001q_2 \\ &\Rightarrow 10010q_2 \Rightarrow 10010 \end{aligned}$$

Given DFA  $M = (Q, \Sigma, \delta, q_0, F)$

the reg. grammar  $G = (V, \Sigma, R, S)$  is constr. as follows:

(1)  $V = Q$

(2)  $S = q_0$

(3)  $R$  contains the productions:

- $\delta(q, a) = q' \Leftrightarrow q \rightarrow aq' \in R$

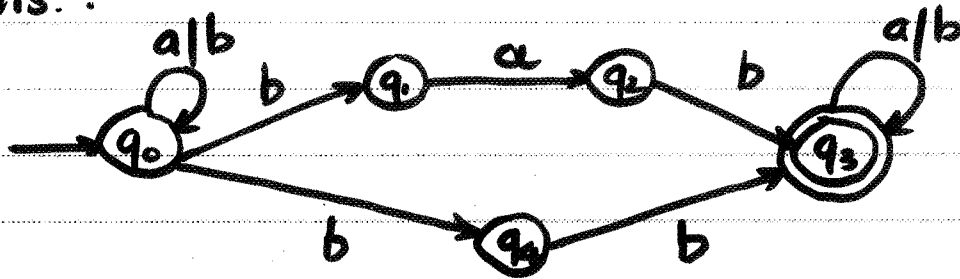
- for each  $q_f \in F$ :

$$q_f \rightarrow \varepsilon \in R.$$

Then  $L(M) = L(G) \quad \square$



Ex: Consider an NFA  $M$  without  $\epsilon$ -transitions:



The equiv. reg. grammar  $G$  is:

$$q_0 \rightarrow aq_0 \mid bq_0 \mid bq_1 \mid bq_4$$

$$q_1 \rightarrow aq_2$$

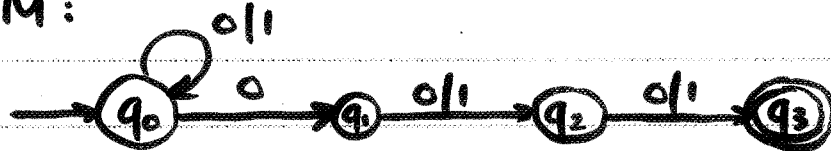
$$q_3 \rightarrow aq_3 \mid bq_3 \mid \epsilon$$

$$q_2 \rightarrow bq_3$$

$$q_4 \rightarrow bq_3$$

(Note the above const. works for NFAs without  $\epsilon$ -transitions as well.)  $\square$

Ex:  $M$ :



$G$ :

$$q_0 \rightarrow 0q_0 \mid 1q_0 \mid 0q_1$$

$$q_1 \rightarrow 0q_2 \mid 1q_2$$

$$q_2 \rightarrow 0q_3 \mid 1q_3$$

$$q_3 \rightarrow \epsilon$$

$\square$

Proposition.  $L = L(G)$  for a reg. gr.  $G$

$\implies L = L(N)$  for some NFA  $N$ .

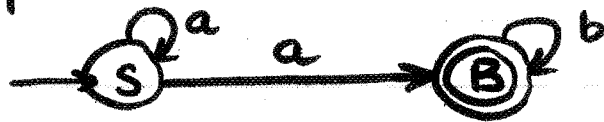
Pf. The idea is similar to previous const.

Consider e.g. reg. grammar  $G$ :

$$S \rightarrow aS \mid aB$$

$$B \rightarrow bB \mid \varepsilon$$

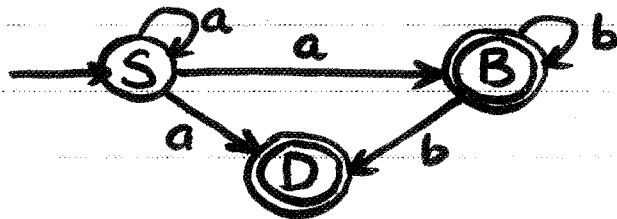
The equiv. NFA  $N$  is:



Suppose that  $G$  also contains

$$S \rightarrow a \quad \text{and} \quad B \rightarrow b$$

To simulate these productions we introduce an additional final state  $D$ :



Thus, given  $G = (V, \Sigma, R, S)$  the equiv. NFA  $N = (Q, \Sigma, \delta, q_0, F)$  is:

$$(1) \quad Q = V \cup \{D\} \quad (2) \quad q_0 = S$$

$$(3) \quad F = \{A \mid A \rightarrow \varepsilon \in R\} \cup \{D\}$$

(4)  $\delta$  is def by:

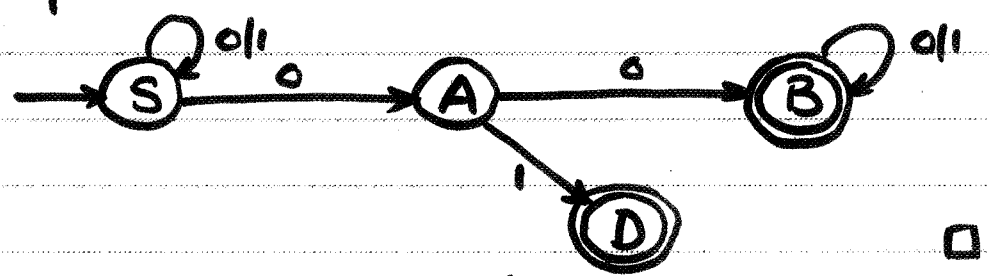
$$\delta(A, a) = B \quad \text{iff} \quad A \rightarrow aB \in R$$

$$\delta(A, a) = D \quad \text{iff} \quad A \rightarrow a \in R$$

□

Ex:  $G: S \rightarrow 0S \mid 1S \mid 0A$   
 $A \rightarrow 0B \mid 1$   
 $B \rightarrow 0B \mid 1B \mid \epsilon$

The equiv. NFA is:



Ex:  $G: q_0 \rightarrow 0q_0 \mid 1q_0 \mid 0q_1$   
 $q_1 \rightarrow 0q_2 \mid 1q_2$   
 $q_2 \rightarrow 0q_3 \mid 1q_3$   
 $q_3 \rightarrow \epsilon$

The equiv. NFA is:

